



Sector \$

PATENT APPLICATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

Sir:

In response to a "Notice to File Missing Parts of Application" (PTO-1533) we received in connection with the following US patent application:

Applicant(s): Liao et al.

Title of application: AN INTERCONNECT STRUCTURE FOR USE IN
AN INTEGRATED CIRCUIT

Serial No.: 08/825,360 Filed: March 28, 1997

Attorney Docket No.: 761/P7US/CVD

We enclose the following material herewith:

- XX Declaration of Marvin Liao, Chyi Chern, Jennifer Tseng, Michal Danek;
- XX Declaration of Roderick C. Mosely, Karl Littau, Ivo Raaijmakers;
- ____ Verified statement claiming small entity status;
- ____ Verified English translation of the application;
- ____ Our check in the amount of \$ _____ to cover the basic filing fee of \$ _____, additional claim fees of \$ _____ and the surcharge under 37 CFR 1.16(e) in the amount of \$ _____;
- XX Copy of PTO-1533 (Notice to File Missing Parts) issued in connection with this application.

We have also enclosed the following item(s) in connection with this application:

- XX A claim to priority Priority Document;
- XX Other: Assignment of Application by Marvin Liao, Chyi Chern, Jennifer Tseng, Michal Danek to Applied Materials, Inc.
- XX Assignment of Application by Roderick C. Mosely, Karl Littau, Ivo Raaijmakers to Applied Materials, Inc.
- ____ Information Disclosure Statement, PTO 1449

Please charge the Application Fee in the amount of \$.00, the surcharge under 37 CFR 1.16(e) in the amount of \$130.00, and any additional fees, as appropriate, to Deposit Account 20-0782. To facilitate this charge a duplicate copy of this letter is also enclosed herewith.

Under the provisions of 1.136(a)(3), please extend the time for filing the missing parts, as required. Please charge the fee to Deposit Account 20-0782.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/825,360	03/28/97	LIAO	M 761/P7U5/CVD

PATENT COUNSEL
APPLIED MATERIALS INC
3050 BOWERS AVENUE
PO BOX 450A
SANTA CLARA CA 95052

0292/0818

NOT ASSIGNED

1104

DATE MAILED:

08/18/97

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

02/26/1998 00000106 208:200732 00825360
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An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

M. Smith
Customer Service Center
Initial Patent Examination Division (703) 308-1202

BEST AVAILABLE COPY

AMIA/CVD: 1035-
Surfacing Unit
Oct 18, 1997
January 15, 1998
Chad L. Hines